

METHODS AND KITS FOR SCREENING NUCLEIC ACID DUPLEX STABILITYIntroduction

This invention was made in the course of research sponsored by the National Institutes of Health. The U.S. Government may have certain rights in this invention.

Background of the Invention

Mutagenic lesions in DNA frequently result from structural modifications of the heterocyclic bases (exocyclic adducts, free radical-induced modifications) and/or from complete removal of the base (abasic sites). Further, cellular processing of lesion containing DNA can lead to mismatches, additions, deletions or base pair substitutions. Thus, by lesion, it is meant to include mismatches, additions and deletions. A wide range of lesion-induced thermodynamic effects have been observed. Typically, the free energy stabilizing the duplex is reduced significantly by inclusion of the lesion. Methods of determining lesion effects on duplex free energy are limited. Typically, the effect of a DNA modification on the energetics of duplex formation is measured by comparison of independently measured association constants for the modified and unmodified duplex or by comparing T_m values, which are commonly but erroneously believed to represent thermodynamic stability. Therefore, there is a need for a simple, reproducible and sensitive method for rapidly screening for duplex stability.

The assays of the invention have two novel features which, when combined, provide a powerful and rapid method to assess the consequences upon duplex formation of perturbations to localized and/or global chemical features of nucleic acids. The first unique aspect is using two simultaneously competing equilibria to identify differences in equilibrium constants, between formation of two different nucleic acid duplexes.

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Typically, the effect of a DNA modification on the energies of duplex formation have been measured by comparison of independently measured association constants for the modified DNA and the unmodified duplex requiring two separate
5 experiments. A second novel feature of the present invention is that these assays require only one experiment.

Furthermore, there is a large technical barrier for direct measurement of single duplex association events. In conventional titration experiments, a solution of one strand
10 is added to a solution of its complement with formation of a duplex monitored by any of a variety of methods, including spectroscopic and calorimetric methods. To extract useful information from a conventional titration, the experiment must be devised such that a significant fraction of free titrant
15 will be present throughout the titration. Satisfaction of this condition leads to the familiar sinusoidal shape of the titration curve. To satisfy this condition, typically the product of the initial titrate concentration, c , and the association constant, K , is in the range $10 < cK < 1000$. Due to
20 the high association constant for nucleic acid duplexes, the component concentration must be below the association constant, the components are likely to be too dilute to be detected by standard spectroscopic means. Having nucleic acids compete for duplex formation, as in the present method,
25 creates a second equilibrium, referred to herein as a "competition" for duplex formation, that is measurable at essentially any concentration range. Thus, the concentrations can be tailored to virtually any method of detection. Further, the competition is measured directly from a single
30 experiment rather than having to compare the results of two independently measured experiments.

Another innovative aspect of this invention is the ability to discriminate between the two duplexes being formed. In one embodiment of the present invention fluorescence energy
35 transfer is used to facilitate this discrimination. A common

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spectroscopic method for monitoring duplex formation relies on the hyperchromicity of duplex formation. However, the extinction coefficients of duplexes of similar length is not a very sensitive reporter of the small differences in DNA content that are of most interest, as in the case of oligonucleotide duplexes with damage to only a single base. Even a technique such as circular dichroism is not sufficiently sensitive and also suffers from difficulties in interpretation of spectral variation which can be due to factors other than duplex formation. FET provides a unique, extremely sensitive, and essentially binary means of discrimination because only a duplex with both the donor and acceptor dyes will have the spectroscopic signature of the energy transfer.

Competitive equilibrium assays of the present invention are more widely adaptable to a variety of nucleic acid systems than are assays that are based on changes in intrinsic spectral characteristics of the dyes. For example, the FET assay of the invention is dependent only on the presence of the two dyes and is limited only by the necessity of modifying DNA to bear the dyes and the fact that the distance between the dyes increases substantially when the initial FET duplex is disrupted. Any spectral changes that accompany the disruption of the FET duplex can be easily treated during data analysis and do not cause any significant complication for the FET assay.

Thus, the present invention has a number of significant advantages over prior art techniques for determining duplex stability.

30 Summary of the Invention

An object of the present invention is to provide methods for screening for nucleic acid duplex stability by competitive equilibria. In these methods, a solution is first produced containing a known amount of an initial or reference nucleic acid duplex with a known stability. The initial

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duplex is comprised of a first nucleic acid strand having a sequence, wholly or in part, homologous to a target strand and a second nucleic acid strand having a sequence, wholly or in part, complementary to the target strand. A series of additions of target strand are then made by titrating the solution with a second solution comprising a known concentration of the target nucleic acid strand. This target nucleic acid strand competes with the first nucleic acid strand for binding to a nucleic acid strand of the initial nucleic acid duplex. After each addition or titration, the solution is subjected to conditions which disrupt some or all of the nucleic acid duplexes and triplexes in the solution; subjected to conditions which promote duplex or triplex formation, and then monitored for any changes in the amount of initial nucleic acid duplex formed as a function of the amount of target nucleic acid strand added. This method can be used for extracting enthalpy data by controlling temperature during duplex or triplex formation and monitoring changes as a function of temperature so that a family of titration curves can be made and used to extract enthalpy (ΔH°) data.

Another object of the present invention is to provide a for detecting a single nucleotide polymorphisms. In this embodiment of the invention, the initial nucleic acid duplex comprises a first and second nucleic acid strand, wherein the first or second strand of the duplex is designed to identify a single nucleotide polymorphism in a single- or double-stranded target nucleic acid sequence. In this method, the amount of the initial nucleic acid duplex in a solution is first determined. A fixed excess amount of a target nucleic acid strand is then added to the solution. The solution is then subjected to conditions which disrupt some or all duplexes or triplexes in the solution followed by conditions which promote duplex or triplex formation. The amount of initial duplex formed after addition of the target strand is

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then measured. This measured amount, after addition of the target strand, is indicative of the target strand containing the single nucleotide polymorphism.

Another object of the present invention is to provide
5 methods for determining the concentration of a target nucleic acid strand which comprises adding a known volume and concentration of an initial nucleic acid duplex with a known stability to a known volume of a solution containing a target strand. Alternatively, a known volume of a solution of target
10 strand can be added to a known volume of a solution containing a known concentration of an initial nucleic acid duplex with a known stability. The solution is then subjected to conditions which disrupt the initial nucleic acid duplex and any duplex between the target strand and a strand of the
15 initial nucleic acid duplex followed by conditions which promote duplex formation. The relative change in the amount of initial duplex formed in the solution after addition of the target strand is used to determine concentration of the target strand.

20 Another object of the present invention is to provide a method for assessing stability of various selected target strands. In this method competitive equilibrium assays are performed with the same initial nucleic acid duplex for each selected target strands. Changes in the amount of initial
25 nucleic acid duplex formed as a function of the amount of the selected target nucleic acid strand added are compared for each target strand to ascertain differences in stability of duplexes or triplexes formed by the various target strands. In this embodiment of the invention it is not necessary to
30 know the stability of the initial nucleic acid duplex.

In a preferred embodiment of these methods of the invention, the first nucleic acid strand comprises a donor nucleic acid strand labeled with a donor of a FET pair and the second nucleic acid strand comprises an acceptor nucleic acid
35 strand labeled with an acceptor of the FET pair and changes

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in the amount of initial nucleic acid duplex in the titrated solution are monitored by measuring changes in FET donor or acceptor intensity.

Yet another object of the present invention is to provide kits for screening for nucleic acid duplex stability and single nucleotide polymorphisms by competitive equilibria methods.

Detailed Description of the Invention

The present invention is a method and kit for screening the impact on nucleic acid duplex stability of alterations in base structures, e.g. due to carcinogen exposure or synthetic modification; the role of sequence context on such effects; and any other such alterations in Watson-Crick pairing such as mismatched base pairs or bulged/unpaired bases. Modifications of the phosphodiester backbone may also be detected. The small amounts of material required, speed of execution and applicability to a wide range of test strands make the assay useful for detailed thermodynamic characterizations and for screening applications. These features make the methods and kits of the present invention superior to calorimetry and other optical methods, with significant savings in the amount of test material necessary to perform a free energy determination. The range of effects on duplex stability of a particular defect or modification can be readily determined with the methods of the invention. With this information, the most interesting duplexes can be identified for further study. Thus, large investments in time and materials will be made only for systems of real interest.

One of the key features of the invention is the flexibility in the nature of the test nucleic acid components that can be evaluated. In one experiment, two 13 mer DNA oligonucleotides, each bearing one of the FET fluorophores, referred to herein as donor (D) and acceptor (A) strands, form a duplex. The test or target strand competitor is a

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third DNA oligonucleotide of the same length bearing a single damaged site at the central position. However, application of the method is general, encompassing virtually any variation in the nature of the nucleic acid duplex and test strand competitor. The donor (D) and acceptor (A) strands need not be the same length nor must the target, whether single strand or duplex. Further, as used herein, the terms "DNA", "nucleic acid", "oligonucleotide" and "strand" are meant to include other variations as there is no requirement for any of the three nucleic acid strands to be DNA. Accordingly, the terms "DNA", "nucleic acid", "oligonucleotide" and "strand" are meant to include DNA, RNA, and analogues including those comprised, in whole or in part, of modified bases and/or modified backbones such as peptido-nucleic acids (PNA) and other oligomers, incorporating modified phosphate and/or sugar moieties (e.g. PNAs, methyl phosphonates, phosphorothioates) that maintain duplex-forming ability may be used in the method of the invention. Further, these terms are also inclusive of the vast number of non-Watson-Crick nucleotide base variations that may be incorporated into any of the components, including intra strand crosslinks, abasic sites, naturally occurring or synthetic base variants, base mimetics and base adducts, including, for example, carcinogen-induced adducts. There is also no need to limit the system to three independent strands of the same length competing for formation of two possible duplexes.

Most nucleic acid amplification techniques, such as polymerase chain reaction produce duplex target. The technique of the present invention can be used on such targets in one of two ways.

In a first embodiment, a triple helix is formed between the target duplex and one of the strands of the reference duplex. The sequence requirements for triple helix formation are well known. Typically, triple helices involve stretches of pyrimidines on one strand of a Watson-Crick

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duplex, a complementary stretch of purines on the other strand of the Watson-Crick duplex, and a third strand comprised of stretches of either complementary purines or pyrimidines which resides in the major groove of the duplex. Details of the sequence requirements and tolerated substitutions are well known and widely described in the art. The target duplex need not be disrupted and all calculation equations provided in the Examples are applicable to this embodiment without modification.

10 The second embodiment is more complex and applicable only when sequences do not meet the requirements for triple helix formation. In this embodiment, the target duplex must be disrupted, i.e. melted, so that both the donor-labeled and acceptor-labeled oligonucleotide can bind to the complementary
15 strand of the target duplex. The addition of two equilibria (the formation of the target duplex and the interaction of the donor strand with one of the target duplex strands) makes this formalism inappropriate for deriving quantitative data. However, due to the law of mass action, the equilibrium
20 distribution of complexes will still depend on the relative values of the equilibrium association constants and the various concentrations. Therefore, the FET observable will also depend on these values. As a consequence, qualitative information on the relative stability of complexes formed by
25 the target duplex's component strands and various sets of DNA duplex probes can be obtained.

The method is also useful for structures that might include large bulging/unpaired regions, competing internal loops and/or hairpins or other deviations from simple duplex
30 formation. The above-mentioned variants may occur in combination, thereby increasing the number of potential targets of study.

The only limitations are that the FET donor and acceptor be within resonance distance in the initial duplex
35 and that formation of the competing complex prevents energy

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transfer by displacement of either donor or acceptor. Any FET donor and acceptor pair can be used and such dyes are well known in the art and commercially available. The fluorescent dyes may be at opposite ends of the duplex (-5' and -5' or 3' and 3'), the same end of the duplex (-5' and -3'), or with one or both fluorophores in the interior of the strand(s). The fluorophores may be linked after oligonucleotide synthesis or, when the phosphoramidites are available, incorporated during synthesis.

10 Further, FET, monitored either by fluorescence of the acceptor or by quenching of the donor, is not the only usable means of monitoring the amount of the reference or donor-acceptor duplex. Any method by which the amount of reference or initial duplex can be monitored as a function of the amount
15 of target can be used. Eximer fluorescence or other optical means can be used. In fact, nucleic acid strands of the initial duplex can be labeled with any pair of species with properties or characteristics dependent upon proximity. Examples include, but are not limited to, fluorescent dyes,
20 antibody-antigen pairs, enzyme-inhibitor pairs and enzyme-coenzyme pairs. Further, if the assay is carried out on a surface, surface plasmon resonance (SPR) spectroscopy may be employed with the label being a chromophore at the wavelength used in the SPR measurement.

25 The high throughput nature of this assay, in comparison to the more time and material intensive techniques generally used for thermodynamic analysis, makes the assay applicable to various problems in biotechnology and pharmaceutical research. For example, this assay can be used
30 to evaluate nucleotide mimetics as drugs such as the anti-HIV drugs ddC and AZT; to evaluate the effects of carcinogen/chemical exposure on the stability of DNA and DNA-RNA hybrid duplexes; and for screening of various parameters for hybridization studies (e.g. temperature, buffer,
35 sequences). Screening of non-natural nucleic acid analogs as

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antisense or antisense agents can also be addressed by this method. The assay of the invention can be a companion technique to help improve existing hybridization techniques. The method of the invention can also be used for screening, 5 in solution, for the presence of single nucleotide polymorphisms (SNPs or "snips") which are used in pharmacogenetic research targeted at identifying the genetic basis of disease and genetic diagnosis of the potential for such disease in individuals. The latter aspects have 10 particular importance in the biotechnology industry. Many companies are currently involved in developing and marketing hybridization assays for a wide variety of research and development efforts. Virtually all of the assays currently in use rely on immobilization of at least one participant in 15 the hybridization reaction. Significantly, the immobilization introduces a host of complications, including non-specific interaction of any/all of the components with the immobilizing platform; possible distortion of the biochemically important equilibrium due to immobilization; the possibility that the 20 chemical linkage of the immobilization can partially occlude the necessary interactions with the non-immobilized components; and the necessity of additional steps in the protocol for the immobilization itself prior to running the binding experiments. The method of the invention, being 25 entirely in solution, eliminates the complications caused by immobilization. Further, because the method uses titration, control experiments with standardized DNA can be run frequently or in parallel with test compounds to eliminate spurious results. Such standardized DNA is a component of a 30 kit for carrying out the method of the invention.

The competing equilibria which are the basis of this assay provide a greatly enhanced method for detecting differences in stability between two nearly identical duplexes. Studying the association of two strands forming one 35 duplex and comparison of the association of two other strands

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in a separate experiment, as is done in current methods, requires two experiments with the inherent compounding of experimental error. The present invention advantageously requires only one.

- 5 The calculations used for data analysis are derived from the general equations for three component, two-equilibria systems as taught by Linn and Riggs (J. Mol. Biol. (1972), 72, 671-90).

10 Two equilibrium association constants are defined below, with subscripts *f* and *t* indicating free and total concentrations, respectively, and AD, AX, D, A, and X represent the donor/acceptor complex, the competitor/acceptor complex, donor strand, and acceptor strand, and the competitor or "test" strand, respectively:

$$K_{\text{ax}} = \frac{[AX]}{[X][A]} = \frac{[AX]}{([X] - [AX])([A] - [AD] - [AX])}$$

15 (Equation 1)

$$K_{\text{ad}} = \frac{[AD]}{[D][A]} = \frac{[AD]}{([D] - [AD])([A] - [AD] - [AX])}$$

(Equation 2)

These equations are for the "test" or "target" strand forming a complex with the acceptor strand. In this and the models that follow, the opposite competition, with X binding to D, 20 can also be described by simple substitution of the terms.

These basic expressions for the equilibrium constants can be combined and rearranged to the following equation:

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$$[AD] = \frac{[A]_t ([D]_t - [AD])}{\frac{1 + ([X]_t - [AX]) K_{AX}}{K_{AD}} + ([D]_t - [AD])}$$

(Equation 3)

The loss of energy transfer is monitored as the AD complex is disrupted by the formation of AX. The complementary measurement of emission of the acceptor subsequent to energy transfer also may be used. Which approach works better will depend on the photophysical properties of the fluorescent dyes. As discussed, the value, θ is the fraction of the initially observed fluorescence energy transfer at each point in the titration and is related to the relative concentrations of the donor/acceptor complex ([AD]) to [total donor strand] ($[D]_t$) ($[AD] = [D]_t$ at the beginning of the titration):

$$\theta_n = \frac{[AD]}{[D]_t} = \frac{I_o - I_n}{I_o - I_{\infty}}$$

(Equation 4)

with relative fluorescence readings for the dilution-corrected relative fluorescence at each point n , I_n , fluorescence of the fully formed FET duplex, I_{AD} , and the fluorescence of the donor strand alone, I_D .

In equation 5, the dilution corrections are added explicitly, where V_o is the initial volume of the D-containing solution, V_A , the volume of the added A-containing solution, and v_i , the volume of the i th aliquot of the X-containing solution.

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$$\theta = \frac{I_D - I_{AD} \left(\frac{V_D + V_A + \sum V_i}{V_D} \right)}{I_D - I_{AD} \left(\frac{V_D + V_A}{V_D} \right)}$$

(Equation 5)

Substituting Equation 3 into Equation 4, assuming that $[X]_t \gg [AX]$, and rearranging yields Equation 6.

$$\theta = \frac{[A]_t (1-\theta)}{\frac{1 + [X]_t K_{AX}}{K_{AD}} + [D]_t (1-\theta)}$$

(Equation 6)

- 5 A program (written in the Microsoft VBA language) that computes a function theta($[A]_t$, $[D]_t$, $[X]_t$, K_{AD} , K_{AX}) to calculate the isotherms (θ vs. X_t or $\log X_t$) using equation 6 is depicted in Example 5. The value of θ is found by iteration to satisfy the equation RHS(equation 6) - θ =
- 10 0, where RHS means right-hand side. The entire experimental isotherm can be fit using equation 6 to find a value for the desired parameter K_{AX} . This is, however, not necessary as shown in the following section.

$X_{0.5}$ is defined as the concentration of competing

15 strand X at which $\theta = 0.5$, or exactly half of the acceptor/donor duplex, AD, has been disrupted. The value of $X_{0.5}$ can be interpolated from a plot of θ versus $\log[X]_t$. When $\theta = 0.5$, a simple relation between the desired equilibrium constant K_{AX} , the measured value $X_{0.5}$, and the

20 known values $[D]_t$ and K_{AD} results in:

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$$K_{\text{ad}} = \left(\frac{K_{\text{ad}} [D]_t}{2X_o} \right)$$

(Equation 7)

Application of the well known relation between ΔG° and K yields Equation 8:

$$\Delta G_{\text{ad}}^\circ = -RT \ln \left(\frac{K_{\text{ad}} [D]_t}{2X_o} \right)$$

(Equation 8)

- 5 The value of K_{ad} will have been previously determined by independent methods such as differential scanning calorimetry and UV-absorbance melting. Alternate representations of equation 8 can be used to evaluate the free energy changes associated with the formation of the duplexes and the defects.

$$\Delta G_{\text{ad}}^\circ = \Delta G_{\text{ad}}^\circ - RT \ln \frac{D_t}{2X_o}$$

10 (Equation 9)

$$\Delta G_{\text{ad}}^\circ = -RT \ln K_{\text{ad}} - RT \ln \frac{D_t}{2X_o}$$

(Equation 10)

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$$\Delta G_{\text{A}}^{\circ} - \Delta G_{\text{B}}^{\circ} = -RT \ln \frac{D_t}{2X_{\text{A}}}$$

(Equation 11)

Alternatively, the impact of a difference between two oligonucleotides (X_1 & X_2) on duplex stability, $\Delta\Delta G^{\circ}$, can be evaluated by titration (in separate experiments) of the two competitors against the same reference AD duplex at the same AD concentration (Equation 12).

$$\Delta\Delta G_{1,2}^{\circ} = \Delta G_{1,2}^{\circ} - \Delta G_{\text{A}}^{\circ} = -RT \ln \left[\frac{X_{\text{A},2}}{X_{\text{A},1}} \right]$$

(Equation 12)

In Equation 12, the value for K_{AD} is canceled. Therefore, one can evaluate the free energy impact of a single defect, or multiple defects, without thorough thermodynamic characterization of the AD duplex.

The assumption of $[X]_t \gg [AX]$ in equation 6 can be relaxed thereby leading to

$$\theta = \frac{[A]_t(1-\theta)}{\frac{1 + ([X]_t - [AX])K_{\text{A}}}{K_{\text{AD}}} + [D]_t(1-\theta)}$$

(Equation 13)

15 An unknown parameter $[AX]$ must be evaluated as part of the calculation of θ . This is accomplished by iteration over $[AX]$ with the restriction that $[A]_t = [AX] + [AD] + [A]_f$. A second root finding problem is executed with the objective being finding a value of $[AX]$ that satisfies the equation

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$$[A]_t - [AX] - \theta D_t - \frac{\theta}{K_{AD}(1-\theta)} = 0$$

(Equation 14)

A second program is also shown in Example 5 that calculates θ ($[A]_t$, $[D]_t$, $[X]_t$, K_{AD} , K_{AX}) without the restriction that $[X]_t \gg [AX]$. While a useful reduction of equation 13 (similar to equations 7 and 8) cannot eliminate the need for iterative solution, values of X_t at $\theta = 0.5$ are readily calculated. Values of X_t so calculated are compared to experimental values and K_{AX} adjusted to produce the experimental value of X_t .

Comparison of isotherms calculated using equations 6 and 13 reveal that the error introduced by the assumption of negligible $[AX]$ is small and only significant when $K_{AX} \sim K_{AD}$. For $K_{AX}/K_{AD} = 1$, the error in free energy is about 0.4 kcal/mole; for $K_{AX}/K_{AD} = 0.1$, 0.06 kcal/mole; and for $K_{AX}/K_{AD} = 0.01$, 0.005 kcal/mole. Therefore, the assumption is in most cases reasonable. Those cases where it is not totally without adverse consequence, that is where $K_{AX} \sim K_{AD}$, are readily predictable, can be addressed easily by application of the full equation 13.

As is clear from the equations 7 and 8, the K_{AX} and ΔG°_{AX} values that are measured are relative to the value of K_{AD} . As a practical matter, titration of X into the solution to a concentration of $1000[D]_t$ is convenient. An $X_{0.5}$ value of $1000[D]_t$ corresponds to a $\Delta\Delta G^\circ$ value of about 4.5 kcal/mole ($\Delta\Delta G^\circ = -RT\ln(1/2000)$). This is a rather large range and should accommodate most single base defects. The range can easily be extended by performing additional titrations using less stable AD duplexes. The stability of the AD duplexes can be modulated by inclusion of modified bases and/or mismatches. A series of AD duplexes can therefore be designed to cover

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essentially any range of ΔG° values, in intervals of 3 to 4 kcal/mole.

Analysis of the parallel titrations can be accomplished independently or collectively to determine the free energy values using the analysis described above.

Equation 6 can be rearranged and used to determine the concentration of a target sequence (X), when the values of K_{AD} and K_{AX} are known. In this example, a known volume and concentration of AD duplex is added to a known volume of an X containing solution. Alternatively, a known volume of X containing solution is added to a known volume of AD duplex containing solution of known concentration. Thus $[A]_t = [D]_t$ is known. The concentration of X, $[X]_t$, can be calculated from the relative change in fluorescence, θ , using the formula

$$[X]_t = \frac{K_{AD}}{K_{AX}} \left\{ [A]_t (1-\theta)^2 - \theta \right\} / \theta$$

(Equation 15)

When the temperature is controlled during the annealing process of a titration, additional information can be obtained. The cooling process can be performed in steps so that values of θ are collected as a function of temperature. Data at each temperature are used to produce a family of titration curves. Each curve is analyzed independently and values for K_{AX} are determined as a function of temperature. The van't Hoff equation,

$$\Delta H^\circ = -R(\partial \ln K / \partial (1/T))$$

(Equation 16)

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can be used to extract enthalpy (ΔH°) data. The thermodynamic description, at a given temperature is completed by using $\Delta G^\circ = -RT \ln K$ and $\Delta S^\circ = (\Delta H^\circ - \Delta G^\circ)/T$.

Direct detection of formation of DNA duplexes by
5 titration is extremely difficult because of the very low concentrations required for monitoring the equilibrium which are well below the operating range of traditional in-solution methods. A competition assay is usable over a wide range of instrumentally accessible concentrations. The method of the
10 invention provides a more reliable measurement of nucleic acid complex stability over a very wide range of free energy values because the titration depends on the difference in stability between the initial donor/acceptor-containing duplex and the resulting competitor-containing duplex and not on their
15 absolute free energy values. Therefore, the range of accessible free energy values can be tuned by choice of the initial donor/acceptor-containing duplex. Relative free energies are usually the desired experimental result and the method of the invention provides them directly. The lower
20 detection limits of the fluorophores define the maximum difference in free energy that can be detected by the method. The use of fluorophore detection provides great sensitivity. The emission spectrum of the donor strand at 10 pM concentration has been visualized reliably using a photon-
25 counting fluorometer.

Free energies calculated from the assay of the present invention have been demonstrated to be in agreement with those measured by extensive thermodynamic studies on individual duplexes. In these experiments, two titrations
30 were performed at the same D_t concentration, for two starting Watson-Crick FET duplexes, designated A•T and T•A, which differ only by the central base pair, out of the 13 pairs in the duplex. Competition on each duplex is from nearly the same single strand as present in the FET duplexes, except this
35 single strand is unlabeled and has a tetrahydrofuranyl abasic

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"lesion" site (F) at the central base pair. Free energy values measured by this method compare quite favorably to those measured by extensive differential scanning calorimetry and UV absorbance melting experiments on these 13-mer duplexes containing a single tetrahydrofuranyl abasic site (F) in the central position. Specifically, using the FET assay, a value of -14.5 ± 0.1 kcal/mole for formation of the F·T duplex was determined, compared with a value of -15.1 ± 0.6 kcal/mole determined using DSC/UV melts. Similarly, using the FET assay a value of -16.2 ± 0.1 kcal/mole was determined for formation of the F·A duplex compared with a value of -16.0 ± 0.4 kcal/mole by DSC/UV melts. These results correspond to $\Delta\Delta G^\circ$ values of -1.7 ± 0.2 kcal/mole from FET and -0.9 ± 1.0 kcal/mole from DSC/UV melting studies for substitution of an A residue for a T residue opposite the abasic site.

Because measurement depends on relative concentration, $[D]_t/[X]$, the method of the present invention can be used in any concentration regime. The appropriate concentration range is determined by the sensitivity of the detection system. Accordingly, $[D]_t$ is selected by one of skill to optimize the detection method. Due to practical limitations on the volume of titrant that can be added to the titrate, there are some practical limitations to the range of free energy values that can be covered by a single titration. However, this range is quite large. A convenient limit of the ratio $[D]_t/[X]_{0.5}$ is about 0.001. This corresponds to a factor of about 0.002 in association constant or 3.7 kcal/mol in free energy. Most defects for which reliable free energy data are available fall into this range.

However, since the measured free energy values depend on the ratio of K_{AD} and K_{AX} ($\Delta\Delta G^\circ$), the appropriate choice of donor-acceptor duplex must be made for each titration. Because the complementarity of the component strand of the donor-acceptor reference duplex need be only sufficient to form the duplex and achieve moderate thermal stability, the

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assay may be tuned over a wide range of free energy values by introducing mismatches in the donor-acceptor reference duplex. By judicious choices of donor-acceptor duplexes, a series of three donor acceptor duplexes can cover a range of 11
5 kcal/mole in free energy. If titrations are done in parallel, use of such a family of duplexes relieves one of estimating the magnitude of K_{AX} prior to performing the titration. In this embodiment of the method of the present invention, several donor/acceptor complexes are formed simultaneously.

10 Each different donor or acceptor oligonucleotide differs slightly, while maintaining complementarity of the acceptor with the target (X) or employing an analogous system where X binds to the donor, to produce donor/acceptor pairs of differing stability. By appropriate selection of donor and
15 acceptor dyes, it is possible to conduct multiple simultaneous titrations of X into a single solution. Spectroscopic discrimination of the various donor acceptor pairs provides multiple free energy determinations simultaneously.

The assay of the present invention can be adapted by
20 immobilization to a variety of inert solid supports using technologies established for standard hybridization studies. In this embodiment, one of the strands of the reference duplex (either donor(D) or acceptor (A)) can be attached to the surface. The reference duplex can then be formed on that
25 surface. Exposure to target will release the unattached strand, thereby producing the signal. Because concentration cannot be defined at a surface in the same way as in solution, comparison to a standard of known stability is required for quantitative results. However, immobilization facilitates the
30 miniaturization and adoption of this assay to high throughput screening while providing the benefit of using FET and the competing equilibria to increase the sensitivity of measurement of differences in duplex stability. Immobilization may also allow for the construction of an
35 array of donor/acceptor duplexes of differing stability. Such

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an array assures appropriate selection of the initial complex. Further, because ranges of the free energy differences measurable using the various initial complexes overlap, multiple complementary measurements can be made
5 simultaneously.

Simultaneous titrations provide a number of additional advantages to this assay. With appropriately designed donor-acceptor reference complexes, the range of accessible free energy is multiplied by the number of duplexes
10 titrated simultaneously. Thus, employing three simultaneous donor-acceptor duplex titrations means that the effective range of a single titration experiment becomes 9-12 kcal/mol, without expending any extra test strand. The enhanced range also means that a favorable outcome is likely in a single
15 experiment, rather than having to explore various single donor acceptor duplexes to find one which has a free energy less than 3-4 kcal/mol higher than the test duplex. Second, this application provides a degree of multiplexing that reduces the time involved in each assay, and thereby enhances the ability
20 to perform high throughput screening of nucleic acid variations. Thus, the simultaneous titrations are truly simultaneous rather than merely in parallel, meaning that all of the information is gathered using a single cuvette. The detailed theory supporting the simultaneous titration
25 experiment is described below.

Single Acceptor/ Multiple Donor or Multiple Acceptor/Single Donor Method

In principle, any number of donor-acceptor pairs could be included with selective excitation of the donors and
30 a single acceptor. The limitation is imposed by the necessity of finding several donors with non-overlapping excitation spectra and sufficient Stokes shifts such that their emission spectra each overlap sufficiently the excitation spectrum of the acceptor. This method allows a series of AD complexes
35 with varying K_{AD} to be used simultaneously.

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As an example, the case wherein there are 3 donors (D_1 , D_2 , D_3) and a single acceptor dye (A) is described; however, the number of donors is not limited to 3. The donors are discriminated by the excitation wavelengths, λ_1 , λ_2 , λ_3 .

- 5 The initial concentrations are $[D_1]_t = [D_2]_t = [D_3]_t = [A]_t/3$. The Donor and acceptor labeled strand can form any or all of the complexes AD_1 , AD_2 , AD_3 , and AX . The equilibrium constants for the various complexes that form are enumerated below.

$$K_{\infty 1} = \frac{[D_1 A]}{[D_1][A]} = \frac{[D_1 A]}{([D_1] - [D_1 A])([A] - [D_1 A] - [D_2 A] - [D_3 A] - [AX])}$$

10 (Equation 17A)

$$K_{\infty 2} = \frac{[D_2 A]}{[D_2][A]} = \frac{[D_2 A]}{([D_2] - [D_2 A])([A] - [D_1 A] - [D_2 A] - [D_3 A] - [AX])}$$

(Equation 17B)

$$K_{\infty 3} = \frac{[D_3 A]}{[D_3][A]} = \frac{[D_3 A]}{([D_3] - [D_3 A])([A] - [D_1 A] - [D_2 A] - [D_3 A] - [AX])}$$

(Equation 17C)

$$K_{\infty} = \frac{[AX]}{[X][A]} = \frac{[AX]}{([X] - [AX])([A] - [D_1 A] - [D_2 A] - [D_3 A] - [AX])}$$

(Equation 18)

Analogously, three theta values, which differ by the
15 excitation wavelength, can be defined.

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$$\theta_n^{1,1} = \frac{[AD_1]}{[D_1]} = \frac{I_{\nu_1}^{1,1} - I_n^{1,1}}{I_{\nu_1}^{1,1} - I_{\nu_1}^{1,1}}$$

(Equation 19A)

$$\theta_n^{1,2} = \frac{[AD_2]}{[D_2]} = \frac{I_{\nu_2}^{1,2} - I_n^{1,2}}{I_{\nu_2}^{1,2} - I_{\nu_2}^{1,2}}$$

(Equation 19B)

$$\theta_n^{1,3} = \frac{[AD_3]}{[D_3]} = \frac{I_{\nu_3}^{1,3} - I_n^{1,3}}{I_{\nu_3}^{1,3} - I_{\nu_3}^{1,3}}$$

(Equation 19C)

and thus

$$[AD_1] = \theta_n^{1,1} [D_1]$$

5 (Equation 20A)

$$[AD_2] = \theta_n^{1,2} [D_2]$$

(Equation 20B)

$$[AD_3] = \theta_n^{1,3} [D_3]$$

(Equation 20C)

Combining equations 20 with equations 17 and 18 and knowledge of the three K_{AD} values, allows for fitting for the value of K_{AX} . In principle, not all K_{AD} values need be known. In this

10

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case, the increased number of unknowns complicates the analysis significantly.

An alternate model, in which AD_1 , AD_2 and AD_3 are in equilibrium with XD_1 , XD_2 and XD_3 can be derived analogously.

5 Fitting here is more complex as the number of unknowns is larger - including

$$K_{XD_2}$$

$$K_{XD_1}$$

$$K_{XD_3}$$

A treatment in which a single donor and multiple acceptors can be used when fluorescence energy transfer can be observed directly (when acceptor emission is observable) and the acceptor emission spectra do not overlap significantly. Derivation of appropriate equations for this case is straightforward and analogous to those derived for the above case.

Multiple Acceptor/Multiple Donor Methods

15 Several donor acceptor/complexes can be monitored in solution simultaneously. Each donor must have a unique excitation wavelength and each acceptor an absorbance spectrum corresponding to the emission of its donor. If emission of the acceptor is to be monitored, the emission spectra of the
20 acceptors must be unique. Some correction for overlap can be made; however, such necessity complicates the data analysis significantly. The number of donor acceptor pairs is, in principle, unlimited.

Multiple donor acceptor pairs are designated A_1D_1 ,
25 A_2D_2 , A_3D_3 , etc. An example is provided using three AD pairs, but any number is possible. Equations accounting for the multiple simultaneous equilibria are described below.

- 25 -

$$K_{A_1 D_1} = \frac{|A_1 D_1|}{[D_1][A_1]} = \frac{|A_1 D_1|}{([D_1] - [A_1 D_1] - [A_2 D_1] - [A_3 D_1])([A_1] - [A_1 D_1] - [A_1 D_2] - [A_1 D_3] - [A_1 X])}$$

(Equation 21A)

$$K_{A_2 D_2} = \frac{|A_2 D_2|}{[D_2][A_2]} = \frac{|A_2 D_2|}{([D_2] - [A_1 D_2] - [A_2 D_2] - [A_3 D_2])([A_2] - [A_1 D_2] - [A_1 D_3] - [A_1 D_4] - [A_2 X])}$$

(Equation 21B)

$$K_{A_3 D_3} = \frac{|A_3 D_3|}{[D_3][A_3]} = \frac{|A_3 D_3|}{([D_3] - [A_1 D_3] - [A_2 D_3] - [A_3 D_3])([A_3] - [A_1 D_3] - [A_1 D_4] - [A_1 D_5] - [A_3 X])}$$

(Equation 21C)

$$K_{A_1 X} = \frac{|A_1 X|}{[X][A_1]} = \frac{|A_1 X|}{([X] - [A_1 X] - [A_2 X] - [A_3 X])([A_1] - [A_1 D_1] - [A_1 D_2] - [A_1 D_3] - [A_1 X])}$$

(Equation 22)

- 5 It is assumed that each X sequence will bind to A₁, A₂, and A₃ with equal affinity, since the three acceptor oligonucleotides have identical sequences. Therefore, [XA₁] = [XA₂] = [XA₃] and

$$K_{A_1 X} = K_{A_2 X} = K_{A_3 X}$$

(Equation 23)

- Similar reasoning leads to the assertion that [D₁A₁] = [D₁A₂]
 10 = [D₁A₃] and so forth for the other donor sequences. Defining expressions for θ and substituting the following expressions for the equilibrium constants it is found that:

- 26 -

$$\Theta_n^{\wedge 1} = \frac{\begin{bmatrix} A_1 & D_1 \end{bmatrix}}{\begin{bmatrix} D_1 \end{bmatrix}_t} = \frac{I_{\sigma_1}^{\wedge 1} - I_n^{\wedge 1}}{I_{\sigma_1}^{\wedge 1} - I_{\lambda_{1\sigma_1}}^{\wedge 1}}$$

(Equation 24A)

$$\Theta_n^{\wedge 2} = \frac{\begin{bmatrix} A_2 & D_2 \end{bmatrix}}{\begin{bmatrix} D_2 \end{bmatrix}_t} = \frac{I_{\sigma_2}^{\wedge 2} - I_n^{\wedge 2}}{I_{\sigma_2}^{\wedge 2} - I_{\lambda_{2\sigma_2}}^{\wedge 2}}$$

(Equation 24B)

$$\Theta_n^{\wedge 3} = \frac{\begin{bmatrix} A_3 & D_3 \end{bmatrix}}{\begin{bmatrix} D_3 \end{bmatrix}_t} = \frac{I_{\sigma_3}^{\wedge 3} - I_n^{\wedge 3}}{I_{\sigma_3}^{\wedge 3} - I_{\lambda_{3\sigma_3}}^{\wedge 3}}$$

(Equation 24C)

Therefore,

$$\Theta_n^{\wedge 1} \begin{bmatrix} D_1 \end{bmatrix}_t = \begin{bmatrix} A_1 & D_1 \end{bmatrix} = \begin{bmatrix} A_2 & D_1 \end{bmatrix} = \begin{bmatrix} A_3 & D_1 \end{bmatrix}$$

5 (Equation 25A)

$$\Theta_n^{\wedge 2} \begin{bmatrix} D_2 \end{bmatrix}_t = \begin{bmatrix} A_1 & D_2 \end{bmatrix} = \begin{bmatrix} A_2 & D_2 \end{bmatrix} = \begin{bmatrix} A_3 & D_2 \end{bmatrix}$$

(Equation 25B)

$$\Theta_n^{\wedge 3} \begin{bmatrix} D_3 \end{bmatrix}_t = \begin{bmatrix} A_1 & D_3 \end{bmatrix} = \begin{bmatrix} A_2 & D_3 \end{bmatrix} = \begin{bmatrix} A_3 & D_3 \end{bmatrix}$$

(Equation 25C)

Further assume that $\begin{bmatrix} D_1 \end{bmatrix}_t = \begin{bmatrix} D_2 \end{bmatrix}_t = \begin{bmatrix} D_3 \end{bmatrix}_t$, which is determined by the experimental setup.

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$$K_{A_1 D_1} = \frac{|A_1 D_1|}{[D_1]_t [A_1]_t} = \frac{\theta^{A_1}}{(1 - 3\theta^{A_1}) \left([A_1]_t - (\theta^{A_1} + \theta^{A_2} + \theta^{A_3}) [D_1]_t - [A_1 X] \right)}$$

(Equation 26A)

$$K_{A_2 D_2} = \frac{|A_2 D_2|}{[D_2]_t [A_2]_t} = \frac{\theta^{A_2}}{(1 - 3\theta^{A_2}) \left([A_2]_t - (\theta^{A_1} + \theta^{A_2} + \theta^{A_3}) [D_2]_t - [A_2 X] \right)}$$

(Equation 26B)

$$K_{A_3 D_3} = \frac{|A_3 D_3|}{[D_3]_t [A_3]_t} = \frac{\theta^{A_3}}{(1 - 3\theta^{A_3}) \left([A_3]_t - (\theta^{A_1} + \theta^{A_2} + \theta^{A_3}) [D_3]_t - [A_3 X] \right)}$$

(Equation 26C)

$$K_{A_1 X} = \frac{|A_1 X|}{[X]_t [A_1]_t} = \frac{|A_1 X|}{([X]_t - 3[A_1 X]) \left([A_1]_t - (\theta^{A_1} + \theta^{A_2} + \theta^{A_3}) [D_1]_t - [A_1 X] \right)}$$

(Equation 27)

5 Equations for

$$K_{A_2 X}$$

and

$$K_{A_3 X}$$

assume similar forms and as noted above are assumed equal to

$$K_{A_1 X}$$

This system of equations (Equations 26 & 27) must be solved by iterative methods to find a value of K_{AX} which satisfies, at each X_t , the constraints imposed by the known concentrations of the AD complexes derived from the measured
5 θ values.

A fundamentally different strategy for simultaneous monitoring of multiple donor/acceptor pairs is to use the dyes as acceptor and donor, but on different duplexes. Again, discrimination is made optically. Here, an example is
10 provided using three donor/acceptor complexes, but the method is not limited in the number of complexes that can be employed.

The nomenclature is altered slightly from that used above. Here, each fluorescent dye is designated as D, with
15 superscript A indicating that dye D is acting as an acceptor and superscript D indicating that dye D is acting as a donor. Dyes are attached to oligonucleotides such that three FET-capable duplexes can form: $D^A_2D^D_1$, $D^A_3D^D_2$, and $D^A_4D^D_3$. Dye D_1 acts only as a donor and D_4 only as an acceptor; however, dyes D_2
20 and D_3 act as acceptor and donor, but on different duplexes. Again, the oligonucleotides are designed so that $D^A_2D^D_1$, $D^A_3D^D_2$, and $D^A_4D^D_3$ vary in stability systematically and so that the X strand can form duplexes with the acceptor bearing strands, namely D^A_2X , D^A_3X and D^A_4X .

25 As in the cases described above, equilibrium constants can be derived relating the concentrations of the various solution components.

$$K_{D^A D^D} = \frac{[D^A_2 D^D_1]}{[D^D_1]_f [D^A_2]_f} = \frac{[D^A_2 D^D_1]}{([D^D_1]_t - [D^A_2 D^D_1] - [D^A_3 D^D_1] - [D^A_4 D^D_1]) ([D^A_2]_t - [D^A_2 D^D_1] - [D^A_2 D^D_2] - [D^A_2 D^D_3] - [D^A_2 X])}$$

(Equation 28A)

$$K_{D_1^A D_2^D} = \frac{[D_3^A D_2^D]}{[D_2^D]_f [D_3^A]_f} = \frac{[D_3^A D_2^D]}{([D_2^D]_t - [D_2^A D_2^D] - [D_3^A D_2^A] - [D_4^A D_2^D])([D_3^A]_t - [D_3^A D_1^D] - [D_3^A D_2^D] - [D_3^A D_3^D] - [D_3^A X])}$$

(Equation 28B)

$$K_{D_1^A D_3^D} = \frac{[D_4^A D_3^D]}{[D_3^D]_f [D_4^A]_f} = \frac{[D_4^A D_3^D]}{([D_3^D]_t - [D_2^A D_3^D] - [D_3^A D_3^D] - [D_4^A D_3^D])([D_4^A]_t - [D_4^A D_1^D] - [D_4^A D_2^D] - [D_4^A D_3^D] - [D_4^A X])}$$

(Equation 28C)

Because the acceptor strands are not identical (the dyes differ although the oligonucleotides to which they are attached are identical), there are three additional equilibrium constants to define.

$$K_{D_2^A X} = \frac{[D_2^A X]}{[D_2^A]_f [X]_f} = \frac{[D_2^A X]}{([D_2^A]_t - [D_2^A D_1^D] - [D_2^A D_2^D] - [D_2^A D_3^D] - [D_2^A X])([X]_t - [D_1^A X] - [D_2^A X] - [D_3^A X])}$$

(Equation 29A)

$$K_{D_3^A X} = \frac{[D_3^A X]}{[D_3^A]_f [X]_f} = \frac{[D_3^A X]}{([D_3^A]_t - [D_3^A D_1^D] - [D_3^A D_2^D] - [D_3^A D_3^D] - [D_3^A X])([X]_t - [D_1^A X] - [D_2^A X] - [D_3^A X])}$$

(Equation 29B)

$$K_{D_4^A X} = \frac{[D_4^A X]}{[D_4^A]_f [X]_f} = \frac{[D_4^A X]}{([D_4^A]_t - [D_4^A D_1^D] - [D_4^A D_2^D] - [D_4^A D_3^D] - [D_4^A X])([X]_t - [D_1^A X] - [D_2^A X] - [D_3^A X])}$$

(Equation 29C)

10 If the dyes do not perturb the equilibria significantly, it is reasonable to assume that $K_{D_2^A X} = K_{D_3^A X} = K_{D_4^A X}$. The

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assumption that the acceptor dyes are equally perturbing or non-perturbing is reasonable and testable.

$$\theta_n^{\lambda_1} = \frac{\left[\frac{D_1^{\lambda_1} D_1^{\lambda_1}}{D_1^{\lambda_1}} \right]}{\left[\frac{D_1^{\lambda_1}}{D_1^{\lambda_1}} \right]} = \frac{I_{D_1}^{\lambda_1} - I_n^{\lambda_1}}{I_{D_1}^{\lambda_1} - I_{D_2 A_1}^{\lambda_1}}$$

(Equation 30A)

$$\theta_n^{\lambda_2} = \frac{\left[\frac{D_2^{\lambda_2} D_2^{\lambda_2}}{D_2^{\lambda_2}} \right]}{\left[\frac{D_2^{\lambda_2}}{D_2^{\lambda_2}} \right]} = \frac{\left(I_{D_2}^{\lambda_2} + I_{A_2}^{\lambda_2} \right) - \left(I_n^{\lambda_2} + I_{D_2 A_2}^{\lambda_2} \right)}{\left(I_{D_2}^{\lambda_2} + I_{A_2}^{\lambda_2} \right) - \left(I_{D_3 A_2}^{\lambda_2} + I_{D_2 A_2}^{\lambda_2} \right)} = \frac{I_{D_2}^{\lambda_2} - I_n^{\lambda_2}}{I_{D_2}^{\lambda_2} - I_{D_3 A_2}^{\lambda_2}}$$

(Equation 30B)

$$\theta_n^{\lambda_3} = \frac{\left[\frac{D_3^{\lambda_3} D_3^{\lambda_3}}{D_3^{\lambda_3}} \right]}{\left[\frac{D_3^{\lambda_3}}{D_3^{\lambda_3}} \right]} = \frac{\left(I_{D_3}^{\lambda_3} + I_{A_3}^{\lambda_3} \right) - \left(I_n^{\lambda_3} + I_{D_3 A_3}^{\lambda_3} \right)}{\left(I_{D_3}^{\lambda_3} + I_{A_3}^{\lambda_3} \right) - \left(I_{D_4 A_3}^{\lambda_3} + I_{D_3 A_3}^{\lambda_3} \right)} = \frac{I_{D_3}^{\lambda_3} - I_n^{\lambda_3}}{I_{D_3}^{\lambda_3} - I_{D_4 A_3}^{\lambda_3}}$$

5 (Equation 30C)

Note that in the expressions for $\theta_n^{\lambda_2}$ and $\theta_n^{\lambda_3}$, the measured quantities (shown in parentheses) contain contributions from the fluorescence of the acceptor of the previous (as in the assigned index) donor acceptor pair. This is assumed to be independent of the formation of the complex. This assumption is testable, should it not be valid appropriate corrections can be applied.

As in the above analyses, the concentrations of the donor/acceptor complexes can be determined by measurement of the θ values and knowledge of the total concentrations of the donor strands.

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$$\left[D_i^* D_i^o \right] = \theta_n^{*1} \left[D_i^o \right]$$

(Equation 31A)

$$\left[D_i^* D_i^o \right] = \theta_n^{*2} \left[D_i^o \right]$$

(Equation 31B)

$$\left[D_i^* D_i^o \right] = \theta_n^{*3} \left[D_i^o \right]$$

(Equation 31C)

Again substituting values for the θ terms and assuming that
 5 $\left[D_i^o \right] = \left[D_2^o \right] = \left[D_3^o \right]$, which is determined by the experimental
 setup, a system of equations is presented that can be solved
 as a function of $[X]_t$ to find values for

$$K_{o_2^*}^{A^*} = K_{o_3^*}^{A^*} = K_{o_4^*}^{A^*}$$

$$K_{o_2^*}^{A^*} = \frac{\left[D_i^* D_i^o \right]}{\left[D_i^o \right] \left[D_i^* \right]} = \frac{\theta_n^{*1}}{\left(1 - 3\theta_n^{*1} \right) \left(\left[D_i^* \right] - \left(\theta_n^{*1} + \theta_n^{*2} + \theta_n^{*3} \right) \left[D_i^o \right] - \left[D_i^* X \right] \right)}$$

(Equation 32A)

$$K_{o_3^*}^{A^*} = \frac{\left[D_i^* D_i^o \right]}{\left[D_i^o \right] \left[D_i^* \right]} = \frac{\theta_n^{*2}}{\left(1 - 3\theta_n^{*2} \right) \left(\left[D_i^* \right] - \left(\theta_n^{*1} + \theta_n^{*2} + \theta_n^{*3} \right) \left[D_i^o \right] - \left[D_i^* X \right] \right)}$$

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(Equation 32B)

$$K_{D_4^A D_3^D} = \frac{\left| \begin{array}{cc} D_1^A & D_1^D \\ D_2^D & D_1^A \end{array} \right|}{\left(\begin{array}{c} \left[D_1^D \right]_t \left[D_1^A \right]_t \\ \left(1 - 3\theta_n^A \right) \left(\left[D_1^A \right]_t - \left(\theta_n^A + \theta_n^D + \theta_n^X \right) \left[D_1^D \right]_t - \left[D_1^A X \right] \right) \end{array} \right)}$$

(Equation 32C)

$$K_{D_2^A X} = \frac{\left| \begin{array}{cc} D_2^A & X \\ D_1^A & [X] \end{array} \right|}{\left(\begin{array}{c} \left[D_2^A \right]_t - \left(\theta_n^A + \theta_n^D + \theta_n^X \right) \left[D_1^A \right]_t - \left[D_2^A X \right] \\ \left([X]_t - 3 \left[D_1^A X \right] \right) \end{array} \right)}$$

(Equation 33)

Expressions for

$$K_{D_3^A X}$$

5 and

$$K_{D_4^A X}$$

are derived similarly and, as described above, their values are assumed to be identical to

$$K_{D_2^A X}$$

The advantage of this method of multiple simultaneous titration, where some of the dyes act as both donor and acceptor (although on different oligonucleotides), over the method described above, in which all donors and acceptors are unique, is that the probability of identification of a set of fluorescent dyes with the necessary photophysical properties is enhanced.

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While there are restrictions on the properties of a set of dyes usable in simultaneous titrations, there are likely to be many sets of usable dyes. One such set of dyes is described in the table below. Each of them is available

5 from Molecular Probes, Inc. of Eugene, Oregon.

<u>Donor</u>	<u>Acceptor</u>	<u>Fluorescent Dye</u>
D_1^D	-----	Alexa 350
D_2^D	D_2^A	Alexa 430
D_3^D	D_3^A	Alexa 488
10 -----	D_4^A	Alexa 594

When one of the reference duplex strands is attached to a surface, only the other strand need be labeled. In this embodiment, the measurement is not FET, but rather fluorescence. A washing step must be included in this

15 embodiment to remove released label. However, this modification may disturb the equilibria rendering this embodiment of the assay more qualitative than quantitative.

Multiple measurements can be conducted simultaneously on a surface. A series of different strands can be attached

20 to a surface so as to identify a particular oligonucleotide with its location on the surface. This kind of spatial distribution of different oligonucleotides is well known. The fluorophore can be attached post-synthetically or as a phosphoramidite; either method is compatible with methods for

25 producing an oligonucleotide array on a surface. A single oligonucleotide with sufficient complementarity to form reference duplexes with each of the immobilized strands can be used to form a series of reference duplexes. The surface is then exposed to a single target that simultaneously

30 equilibrates with all of the reference duplexes. A series of standards can be routinely incorporated into this assay.

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The method of the present invention is of particular use in single nucleotide polymorphism (SNP) screening. The theory behind the application of this FET assay to SNP screening is based upon two segments of DNA, the first being
5 a "wild type" sequence (does not contain a SNP), and the second being a variant sequence (a SNP) that, for example, may be a marker for disease or disease tendency. Standardized methods abound for amplifying a small genetic sample (e.g. from a few microliters of blood), and the result would be one
10 amplified strand, which would become the unlabeled competitor (X) strand in our FET assay. When amplification of the target is performed the quantity of the target can be determined by use of labeled primers. Either fluorescently labeled, so as not to interfere with the subsequent FET measurement, or by
15 any of the many well known methods for labeling amplification products.

Since SNP screening is merely a detection of a SNP, and not a quantitation of energetic impact per se, FET-based SNP screening does not even require a full titration of target
20 (X) into the donor-acceptor duplex. Merely adding a fixed excess amount of the X strand is sufficient, in effect making a two-point titration wherein the fluorescence is measured before and after X addition. The amount of X strand needed should be in the range of 10- to 100-fold excess over donor
25 (D). In these experiments, when the sequences of X and D match, X will efficiently displace D from the donor acceptor duplex, causing an efficient, and probably complete, reduction in θ . When X and D do not match, θ will remain high. In theory, either experiment would be sufficient to prove the
30 presence or absence of wild-type sequence. However, it is generally preferable to guarantee that a positive and negative signal will be achieved in clinical assays, so it would be preferable to run two experiments in parallel, monitoring donor-acceptor duplexes of wild-type and SNP sequences, one
35 of which should have high θ and one low θ . Additionally, a

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heterozygous sample would score as an efficient competitor for both donor-acceptor duplexes.

The assay is further applicable to situations where there may be multiple SNP sites within a single gene, with each variation occurring at low frequency. In this embodiment, a much longer sequence can be screened similarly, still in a single experiment. In such a case, the donor-acceptor duplex has the wild-type sequence of the full length of the region of interest. The amplified target strand, X, is judged, from a single 10- to 100-fold addition, to either compete or not for the donor-acceptor duplex. If FET is reduced, and X is a good competitor, then further screening could be done using additional assays more specific in nature to the sequences in question. Even if another specific screening method is preferred, the global nature of the FET assay is useful as a first screen, eliminating the cost and expense of screening by other more detailed methods for every single patient being tested. If, among all SNPs identified within a gene, the frequency runs as high as 20% for having any one variation, the single screen using a very long sequence would still eliminate the need for extensive testing on 80% of the samples. This would represent a large savings in material, and would greatly improve the throughput of testing facilities.

The SNP assay is not limited by the length of the strands (e.g. number of bases long) that are being screened. The actual length limitation is a theoretical barrier attributable to a kinetic situation whereby very long uniquely complementary sequences may not ever form a complete duplex. This limitation is similar to the fact that long sequences such as complete bacterial genomes cannot be completely reannealed after thermal disruption. The barrier for this assay is estimated to be in the range of several hundreds of bases, such that sequences of interest in the range of up to 100 bases can be easily accommodated. Clearly, longer

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sequences, however, are considered within the scope of this assay.

The relevance of a strand length of about 100 bases, however, is that regions bearing even one SNP may be assessed by a single assay, using this method. As SNP identity becomes linked to disease potential in humans, such screening becomes an extremely valuable technique for rapid diagnosis of individual patients, both for diagnosis of ailment, infection, drug sensitivity, drug resistance, etc., and early detection of conditions that can lead to timely application of preventative treatments. Regions of genes already identified as having relevant SNPs are generally in the range of 100 bases or less, meaning that the theoretical length limitation will not become a factor in practice.

The key feature of this method that eliminates length of the oligonucleotides as a limitation, unlike in other assays under commercial development, is that this method depends on competition between two different equilibria characterized by two different equilibrium constants. Thus the actual measurement is the ratio of the two equilibrium constants, and thus the difference in free energies for formation of these alternative duplexes. The difference in free energy caused by a defect, such as a single base pair mismatch (i.e. not a canonical Watson-Crick pairing), is the same whether the defect is within a very long duplex or a short one, so long as the bulk of the duplex generally remains stable. Thus, the magnitude of ΔG° is not a consideration, since the fluorescence assay measures the difference in two ΔG° values ($\Delta\Delta G^\circ$) directly. Mismatches of the four canonical bases will generally exhibit $\Delta\Delta G^\circ$ values of about 1 or 2 kcal/mol, but this 1 to 2 kcal/mol is the actual magnitude of the measurement itself. Thus, whether the absolute values are 20 kcal/mol of duplex, as in a 10-15 base pair oligonucleotide, or 600 kcal/mol of duplex, in something

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perhaps as long as a gene, the assay is silent to these magnitudes, because it is reporting $\Delta\Delta G^\circ$ directly.

Fluorescently labeled strands used in the present invention may be provided in a kit form. A researcher
5 interested in adapting their test DNA lesion could do so simply by synthesizing a small amount of test DNA within a sequence predefined by the kit DNA. A kit would include at least one FET-labeled starting duplex and the appropriate buffer along with simple instructions for performing the
10 experiment and analyzing the data. The kit may also include a standardized competitor strand to ensure reproducibility and to facilitate comparisons over time. As an example, the strands of a kit could be designed with specific targets in mind. A specific kit could be designed, for example, to
15 screen for a single mutation in a gene.

The following nonlimiting examples are provided to further illustrate the present invention.

EXAMPLES

20 **Example 1: Purification and dye-labeling of 5'-amino-linker oligonucleotides**

Standard phosphoramidite DNA synthesis with an amino-linker phosphoramidite as the last (5') residue is performed. The intact synthesis column is dried under vacuum. The column is then cracked open and the glass support beads are
25 transferred to a screw top plastic bottle. Aqueous NH_4OH (1 ml) from the freezer is added to each tube and the DNA is deprotected for three days at room temperature for standard amidites. Tubes are then cooled in the freezer and the NH_4OH is pipetted off. The supports are then washed with 2 x 200
30 μl water or a mixture of $\text{EtOH}:\text{CH}_3\text{CN}:\text{H}_2\text{O}$ (3:1:1); the samples and washes are combined; and then dried in a Speed-Vac. The dried samples are then dissolved in H_2O at low temperature ($<40^\circ\text{C}$) and floating non-soluble materials are removed. At this step, the sample may be purified by reverse phase, using
35 high performance liquid chromatography (HPLC) and a PRP-

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column, equilibrated with 50 mM ammonium bicarbonate. Elution is performed by a linear (5-50%) gradient of acetonitrile in 50 mM NH_4HCO_3 . Following freeze-drying, the fractions containing the purified tritylated N-modified oligonucleotide are heated to 95°C for 5 minutes to remove the MMT-(trityl) group and subjected to ethanol precipitation in the presence of sodium ions, as follows: the volume is adjusted to 300 to 400 μl water; NaCl (100 μl , 2M) or sodium buffer is then added along with 950 μl of EtOH; samples are then placed in the freezer for at least 30 minutes, preferably one hour. Following freezing, the sample is centrifuged for 12 minutes at 14,000 rpm. The resulting pellet is dried to remove any residual ethanol. Trityl is then removed by addition of 200 μl of 80% acetic acid for one hour at room temperature and evaporating the liquid in a Speed -Vac for 2 to 3 hours until a glassy residue is observed. This step is critical to ensure elimination of any residual free amines that might interfere with the labeling reaction. For the unlabeled oligonucleotides, the trityl group is removed by addition of 80% acetic acid and incubation for one hour at room temperature, followed by freeze drying. Both the purity of the final product and the success of detritylation are monitored by analytical reverse phase HPLC. If required, additional purification of the detritylated oligonucleotide is performed by reverse phase HPLC. The purified DNA is subjected to ethanol precipitation/ Na^+ exchange to rid the sample of any excess reactive amines from the HPLC buffer and labeled as follows: DNA (30-40 OD-260) is dissolved in 270 μl of H_2O in an O-ring tube. NaHCO_3 (30 μl , 1 M) at pH 8.3 is then added. One mg of succinyl ester form of the dye is then added for each 20 OD of DNA. This can be weighed as a dry reagent into a glass vial, dissolved in 80 μl /mg of fresh DMSO, and added into the plastic tube of DNA solution. The glass vial is then rinsed with 20 μl of additional DMSO and added to the plastic tube. Alternatively, a 100 μl aliquot

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of dye is added. The dye and DNA are then allowed to react at 37°C or higher at least overnight.

The DNA is then isolated from any unreacted dye with a PD-10 Sephadex G-25 column (Pharmacia). The column is
5 equilibrated by rinsing with at least 25 ml of H₂O. The DNA sample is diluted with H₂O to a final volume of 1000 µl and loaded onto the column. The DNA is then washed using 1.6 ml H₂O. DNA is eluted in 600 µl fractions with H₂O. Generally six fractions are sufficient.

10 The fractions are dried to at least ½ the volume and adjusted to 300 µl total volume with H₂O. Each fraction is then independently subjected to ethanol precipitation. Generally only the first three fractions will have appreciable DNA. The free dye stays mostly dissolved in the ethanol.

15 The DNA containing precipitates are combined and the labeled DNA purified using ion exchange HPLC (Mono-Q column) with Buffer A being 50 mM Tris HCl with 15% acetonitrile and Buffer B being Buffer A with 1 M NaCl. The gradient profile can be tailored but in general increases from 0 to 80% buffer
20 B over 25-35 minutes. Monitoring absorbance at both 260 nm and the absorbance maximum of the attached fluorophore can help to define the labeled and unlabeled DNA peaks.

The labeled DNA is further purified by HPLC using an ion exchange column (e.g. Mono Q, Pharmacia) equilibrated with
25 50 mM Tris HCl and 15% acetonitrile (Buffer A) and a linear gradient (0-100%) of Buffer B (i.e., Buffer A containing 1 M NaCl). Absorbances at 260 nm and the wavelength corresponding to the maximum absorbance of the fluorophore are use to monitor and define labeled and unlabeled pools of
30 oligonucleotides.

Alternatively, a desalting column can be used in place of the ethanol precipitation steps.

5'-labeled oligonucleotides forming duplexes have been compared with the parent unlabeled duplexes, revealing
35 no alterations in their thermodynamic stability in the

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presence of the probes. Moreover, the ability to form Watson-Crick duplexes in a stoichiometric ratio has been further confirmed by HPLC analysis of the annealed mixtures, in comparison to the free oligonucleotide strands. Additional
5 evidence reveals that both labeled and unlabeled oligonucleotides may be recovered upon completion of a FET assay by repurification, with no indication of adulteration. Time-dependent studies of the integrity of the labeled oligonucleotides reveal no sign of aggregation or degradation
10 within 1 year from sample preparation. The labeled oligonucleotides may be stored as stock solutions in water and working buffer at or below -20°C for at least six months. Preferably, the samples should be stored as a lyophilized powder, for periods exceeding six months.

15 **Example 2: Determination of labeled DNA concentration**

Determination of the concentration of the labeled DNA strands in stock solutions has been performed using an average extinction coefficient of $1.1 \times 10^5 \text{ M}^{-1}\text{cm}^{-1}$ at 25°C . The intrinsic DNA absorbance at 260 nm has been demonstrated to
20 not be significantly altered by the presence of the conjugated dye.

Example 3: Formation of the FET duplex

A working stock solution of each of the labeled DNA of complementary sequence in the range of 2 to 3 micromole DNA
25 strand is prepared. Fluorescence detection is performed as follows: after each aliquot (of any titrant) the cuvette is heated to about 90°C , using an external heat block, and cooled to 25°C via the intrinsic cooling of the jacketed cuvette holder in the fluorometer. The cuvette must be tightly
30 stoppered to minimize evaporation during heating. Wavelengths must be tailored for the dyes used. For example, for the fluorophore pair Oregon Green 514 and Rhodamine-Red-X, the emission spectrum is collected over 510-650 nm with excitation at 508 nm, with scanning at 100 nm per minute. The time
35 drive is set to collect, using the kinetics mode, 30 or 60

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seconds (at 0.1 second per reading) using 508 nm excitation and 528 nm emission. These data points are then averaged, resulting in a precise relative fluorescence intensity for each reading, and an associated standard deviation for that averaged value. The fluorescence of the buffer alone is read to establish a blank for the instrument response.

The fluorescence of the "free" donor strand is then determined. A sample of 10 nM donor strand is prepared in 250 μ l total volume of buffer and fluorescence is measured. An aliquot of the acceptor strand from the working stock sufficient to achieve a final concentration of 100 nM is then added to form the FET duplex and the fluorescence is determined.

Example 4: Titration of the competing strand

A working stock of the competing strand is prepared at a concentration appropriate to the expected ability/inability to compete for duplex formation with the formed donor/acceptor pair. In general, the concentration is one order of magnitude higher than the donor and acceptor working stocks for each 1 kcal/mol of free energy difference ($\Delta\Delta G$) expected for the competing strand. In the event the free energy differences are completely unknown, a number of concentrations can be prepared, covering a wide range of concentrations, i.e., 2 μ M, 20 μ M, 200 μ M, etc. solutions in buffer. Titration is started with the most dilute solution and more concentrated solutions are used as necessary.

For the first aliquot, the competing strand is added to a final concentration of about $\frac{1}{2}$ of the concentration of the donor/acceptor concentration. The fluorescence is then determined. If there is a significant change in the fluorescence, titration is continued with the working stock. If there is no change, titration is continued with a higher working stock concentration. Additional aliquots are added and the fluorescence determined until the fluorescence

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intensity recovers to at least $\frac{1}{2}$ of the intensity measured for the free donor strand.

Example 5: Automation of competitive titration experiment

The FET data acquisition protocol was automated on
5 an AVIV Model ATF-105 Automatic Titrating Fluorescence Spectrophotometer. The customized software developed for the FET assay on this particular instrument improved the overall accuracy, precision, and data throughput compared to conventional manual titration experiments. There are several
10 key features of the automated FET assay including programmed titration of acceptor and competitor or target strands and the ability to conduct successive heating/cooling cycles of the sample solution in the cuvette. Selection of the upper temperature limit is dictated by two important considerations,
15 namely that the parent and test duplexes are dissociated into single strands and the covalently attached fluorophores are stable at the desired upper temperature. Extensive control studies conducted on the stability of the Oregon Green 514 (OG) and Rhodamine-Red-X (RdRX) labeled strands indicate that
20 these fluorophores retain their integrity during successive heating/cooling cycles over the temperature range of 0 - 75°. The superimposition of UV melting/cooling curves revealed that the fluorescently labeled strands in the parent duplex are not labile below 75°C. The overall reproducibility is compromised
25 when heating the identical duplex to temperatures above 75°C, as noted in the family of non-superimposable UV melting/cooling curves. It is incumbent upon the analyst to judiciously evaluate and select the practical upper temperature limit for a particular duplex and set of
30 fluorophores.

A typical experiment is initiated by placing a cuvette containing a 100 nM solution of the Oregon Green 514 labeled donor strand in the sample compartment, heating the cuvette to 75°C, maintaining the temperature at 75°C for three
35 minutes, and cooling the sample to 20°C over an equilibration

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period of five minutes. During the cooling cycle, the instrument is operated in the kinetic mode and the fluorescence emission intensity is recorded at 521 nm (i.e. excitation wavelength = 508 nm) at five second intervals.

5 After the fluorescence intensity plateaus indicating that equilibrium is achieved, a reference spectrum of the donor strand is recorded over the wavelength range of 510-650 nm. Activation of the first syringe drive dispenses fixed microliter aliquots of the Rhodamine-Red-X labeled acceptor

10 strand in the sample cuvette to form the FET-active donor-acceptor reference duplex. Upon addition of each aliquot of acceptor, the sample is subjected to a heating/cooling cycle identical to that above to ensure that the duplex anneals properly. The donor strand (D) d(GCGTACACATGCG)-OG (SEQ ID

15 NO:1) is titrated with its complementary acceptor strand (A) d(CGCATGTGTACGC)-RdRX (SEQ ID NO:2) to form the FET-active reference donor-acceptor duplex. The diluted corrected fluorescence intensities are cast in the form of a Job Plot to confirm that the stoichiometry of the single strands in the

20 reference duplex is 1:1 (i.e. mole fraction = 0.5). Although the forward titration demonstrates that the single strands associate to form a competent duplex, the experimental protocol may be simplified by loading the pre-formed reference duplex into the cuvette prior to conducting the automated

25 competition experiment. Elimination of the forward titration increases the sample throughput by reducing overall experimental time in the FET assay by approximately 50 percent.

Having formed the FET active reference duplex in the

30 initial part of the experiment, the second syringe drive is activated to dispense fixed aliquots of the unlabeled competing strand (X)d(CGCATGFGTACGC) (SEQ ID NO:3). The sample solution containing the three strands is subjected to heating/cooling cycles after each addition in the titration

35 experiment to facilitate annealing of the donor-acceptor and

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acceptor-target (AX) duplexes. A sufficient excess of the target strand X (in this case approximately 100 fold) is titrated into the cuvette to ensure that at least half of the acceptor has been displaced from the reference duplex. The dilution corrected relative fluorescence (θ) is plotted as a function of the concentrations of acceptor (A) and target (X) strands. The concentration of competing strand ($X_{0.5}$) at which exactly half of the acceptor/donor duplex (AD) is disrupted, that is $\theta = 0.5$, is interpolated from this plot. Substituting the value for $X_{0.5}$ into the simplified relation:

$$K_{AX} = (K_{AD} \cdot D_t / 2 \cdot X_{0.5})$$

yields a value for the acceptor/target association constant (K_{AX}). Application of the thermodynamic relation between ΔG° and K_{AX} facilitates calculation of the free energy:

$$\Delta G^\circ = -R \cdot T \cdot \ln K_{AX}$$

In this example, values of $X_{0.5} = 1.1 \times 10^{-5}$ for d(CGCATGFGTACGC) (SEQ ID NO:3) and $D_t = 4.22 \times 10^{-8}$ for d(GCGTACACATGCG)-OG (SEQ ID NO:1), coupled with a $K_{AD} = 9.0 \times 10^{14}$ determined independently for the reference duplex, results in a $K_{AX} = 1.7 \times 10^{12}$ for the formation of the AX duplex. Substitution into the relevant relation yields a value of $\Delta G^\circ = 16.4$ kcal/mol for the AX duplex that compares favorably with the value determined previously (i.e. $\Delta G^\circ = 16.0$ kcal/mol) employing a combination of calorimetric and spectroscopic technique.

Example 6: Equation Programs

Equation 6 Program

```
'declare globals'
Dim At, Dt, Xt, Kad, Kax As Double
Function theta(z1, z2, z3, z4, z5) As Double
  At = z1
  Dt = z2
  Kad = z3
  Kax = z4
  Xt = z5
```

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```
theta = ModRegFal(0#, 1#)
```

```
End Function
```

```
Private Function func1(A) As Double
```

```
func1 = At * (1 - A) / ((1 + Xt * Kax) / Kad + Dt * (1 - A))
```

```
5 - A
```

```
End Function
```

```
Private Function ModRegFal(X1, X2 As Double) As Double
```

```
'Modified Regula Falsi'
```

```
'(adapted from Conte & de Boor, 1980)'
```

```
10 'Finds root of function func1, if bracketed '
```

```
Const xtol = 0.00000000000001
```

```
Const ftol = 0.0000000000000001
```

```
Const ntol = 100
```

```
Dim SignF1, n, PrvsF3 As Integer
```

```
15 Dim F1, F2, F3, X3 As Double
```

```
F1 = func1(X1)
```

```
F2 = func1(X2)
```

```
'test whether root is bracketed'
```

```
If Sgn(F1) * F2 > 0 Then
```

```
20 Debug.Print "X1= ", X1, "X2= ", X2
```

```
Debug.Print "func1(X1)= ", F1, "func1(X2)= ", F2
```

```
End
```

```
End If
```

```
X3 = X1
```

```
25 F3 = F1
```

```
'BEGIN ITERATION'
```

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```
For n = 1 To ntol

    'TEST FOR CONVERGENCE'

    'Is interval small enough?'
    If Abs(X1 - X2) <= xtol Then
5        ModRegFal = X3
        Exit Function
    End If

    'Is F3 small enough?'
    If Abs(F3) <= ftol Then
10        ModRegFal = X3
        Exit Function
    End If

    'GET NEW GUESS BY LINEAR INTERPOLATION'
    X3 = (F1 * X2 - F2 * X1) / (F1 - F2)
15    PrvsF3 = Sgn(F3)
    F3 = func1(X3)

    'CHANGE TO NEW INTERVAL'
    If Sgn(F1) * F3 >= 0 Then
        X1 = X3
20        F1 = F3
        If F3 * PrvsF3 >= 0 Then F2 = F2 / 2#
    Else
        X2 = X3
        F2 = F3
25        If F3 * PrvsF3 >= 0 Then F1 = F1 / 2#
    End If

Next n

'END ITERATION'
```

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```
Debug.Print "X1= ", X1, "X2= ", X2, "X3= ", X3
Debug.Print "func1(X1)= ", F1, "func1(X2)= ", F2, "func1(X3)=
", F3
Debug.Print ntol, " iterations without convergence"
```

5 End Function

Equation 13 Program

```
'declare globals'
Dim At As Double, Dt As Double, Xt As Double
Dim XA As Double, Kad As Double, Kax As Double
10 Dim AX As Double
Function theta(z1#, z2#, z3#, z4#, z5#) As Double
At = z1
Dt = z2
Kad = z3
15 Kax = z4
Xt = z5
dummy = ModRegFal2(0#, z1) 'switch to At
theta = Theta0()
Debug.Print "AX/Xt= "; AX / Xt; " Theta = "; theta
20 End Function
Private Function Theta0() As Double
Theta0 = ModRegFal1(0#, 1#)
End Function
Private Function func1(A As Double) As Double
25 func1 = At * (1 - A) / ((1 + (Xt - AX) * Kax) / Kad + Dt * (1
- A)) - A
End Function

Private Function func2(B As Double) As Double
Dim T0 As Double
30 AX = B
T0 = Theta0()
```

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```
func2 = At - AX - T0 / (Kad * (1 - T0)) - T0 * Dt
End Function
```

```
Private Function ModRegFall(X1 As Double, X2 As Double) As
Double
```

```
5 'Modified Regula Falsi'
```

```
'(adapted from Conte & de Boor, 1980)'
```

```
'Finds root of function func1, if bracketed '
```

```
Const xtol = 0.00000000000001
```

```
Const ftol = 0.0000000000000001
```

```
10 Const ntol = 100
```

```
Dim SignF1 As Integer, n As Integer, PrvsF3 As Integer
```

```
Dim F1 As Double, F2 As Double, F3 As Double, X3 As Double
```

```
F1 = func1(X1)
```

```
F2 = func1(X2)
```

```
15 'test whether root is bracketed'
```

```
If Sgn(F1) * F2 > 0 Then
```

```
    Debug.Print "root not bracketed"
```

```
    Debug.Print "X1= ", X1, "X2= ", X2
```

```
    Debug.Print "func1(X1)= ", F1, "func1(X2)= ", F2
```

```
20    End
```

```
End If
```

```
X3 = X1
```

```
F3 = F1
```

```
'BEGIN ITERATION'
```

```
25 For n = 1 To ntol
```


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'TEST FOR CONVERGENCE'

'Is interval small enough?'

If Abs(X1 - X2) <= xtol Then

ModRegFall1 = X3

5 Exit Function

End If

'Is F3 small enough?'

If Abs(F3) <= ftol Then

ModRegFall1 = X3

10 Exit Function

End If

'GET NEW GUESS BY LINEAR INTERPOLATION'

$$X3 = (F1 * X2 - F2 * X1) / (F1 - F2)$$

PrvsF3 = Sgn(F3)

15 F3 = func1(X3)

'CHANGE TO NEW INTERVAL'

If Sgn(F1) * F3 >= 0 Then

X1 = X3

F1 = F3

20 If F3 * PrvsF3 >= 0 Then F2 = F2 / 2#

Else

X2 = X3

F2 = F3

If F3 * PrvsF3 >= 0 Then F1 = F1 / 2#

25 End If

Next n

'END ITERATION'

Debug.Print "X1= ", X1, "X2= ", X2, "X3= ", X3

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```
Debug.Print "func1(X1)= ", F1, "func1(X2)= ", F2, "func1(X3)=  
", F3  
Debug.Print ntol, " iterations without convergence"  
  
End Function  
  
5 Private Function ModRegFal2(Y1 As Double, Y2 As Double) As  
Double  
  
    'Modified Regula Falsi'  
    '(adapted from Conte & de Boor, 1980)'  
    'Finds root of function func2, if bracketed '  
  
10 Const xtol = 0.0000000000001  
    Const ftol = 0.000000000001  
    Const ntol = 100  
  
    Dim SignF1 As Integer, n As Integer, PrvsF3 As Integer  
    Dim F1 As Double, F2 As Double, F3 As Double, Y3 As Double  
  
15 F1 = func2(Y1)  
    F2 = func2(Y2)  
  
    'test whether root is bracketed'  
    If Sgn(F1) * F2 > 0 Then  
        Debug.Print "Y1= ", Y1, "Y2= ", Y2  
20     Debug.Print "func2(Y1)= ", F1, "func2(Y2)= ", F2  
        End  
    End If  
  
    Y3 = Y1  
    F3 = F1  
  
25 'BEGIN ITERATION'  
    For n = 1 To ntol
```

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'TEST FOR CONVERGENCE'

'Is interval small enough?'

If Abs(Y1 - Y2) <= xtol Then

ModRegFal2 = Y3

5 Exit Function

End If

'Is F3 small enough?'

If Abs(F3) <= ftol Then

ModRegFal2 = Y3

10 Exit Function

End If

'GET NEW GUESS BY LINEAR INTERPOLATION'

Y3 = (F1 * Y2 - F2 * Y1) / (F1 - F2)

PrvsF3 = Sgn(F3)

15 F3 = func2(Y3)

'CHANGE TO NEW INTERVAL'

If Sgn(F1) * F3 >= 0 Then

Y1 = Y3

F1 = F3

20 If F3 * PrvsF3 >= 0 Then F2 = F2 / 2#

Else

Y2 = Y3

F2 = F3

If F3 * PrvsF3 >= 0 Then F1 = F1 / 2#

25 End If

Next n

'END ITERATION'

Debug.Print "Y1= ", Y1, "Y2= ", Y2, "Y3= ", Y3

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```
Debug.Print "func2(Y1)= ", F1, "func2(Y2)= ", F2, "func2(Y3)=  
", F3
```

```
Debug.Print ntol, " iterations without convergence"
```

```
End Function
```